

0DUNROGVWHLQ

3URIHVVRU .DQVWHLQHU

(PRWLRQ DQG OHPRU\ RI WKH +RORFDXV

6XUYLYLQJ ZKDW ZDV DUJXDEO\ WKH JUHDWHVW DFW
+RORFDXVW HQWLWOHV RQH WKH RSSRUWXQLW\ WR
KRUURU ,Q WKH DIWHUPDWK RI WKH +RORFDXVW DC
ERWK VXUYLYRUV DQG SHUSHWUDWRUV KDV VXUIDFH
GHHUPLQHG ZKDW PRGHUQ SRSXODU FXOWXUH UHF
(PRWLRQ REYDRXLWODS UROH LQ WKH DFRXQWV RI W
FRQVLGHUHG ZKHQ GLVFXVVLQJ WKH KLVWRULFDO V
PXUGHU RI VL[PLOOLRQ (XURSHDQ -HZV E\ WKH 7KL
RI WKRXJKWV DV D GEEVOLHHV DQJHQG VHQVLELOLW\ R
FHUWDLQ HYHQW RU LQGLYLGXDO ,Q WHUPV RI WKH
SUHYDOHQW LQ WKH VXUYLYRUV WDOHV RI WKHLU
LQ WHUPV RI QGLMXUHLVWQ DV VFKRODUV RIWHQ SRL
VWURQJ VHQWLPHQWV DQG WUDQVPLWV DQG UHLQIF
GHSWK REVHUYDWLRQ RI YDULRXV IRUPV RI PHGLD V
HPRWLRQ DQG WKH ODFN WKHUHRI DV D UHSHUFXVV
WHVWLPRQLHV RI WKRVH ZKR VXUYLYHG LWV WULDO
UROH LQ GHHUPLQLQJ KLVWRULFDO NQRZOHGJH RI

,Q DQDO\]LQJ WKH VWRULHV ZKLFKSVXUQW WKHLU BI W
SHUSHWUDWRUV KDYH SXW IRUWK DV KLVWRULFDO H
RQH PXVW SRVH WKH TXHVWLRQ ZKHUH GRHV IDFW
VXEMHFW EHJLQ" ,W LV FULWLFDO WR DVS SURRQRK V W
QRW DOO KLVWRULFDO DFRXQWV RI WKH +RORFDXV
ZLWK HPRWLRQDO LQSXW DQG D PXOWLQD\HUHG LQW
DUWLFQH OHPRU\ 'LVWRUWLRQ DQG DUVXWRUWIKO W
WKH GLVWRUWLRQ RI PHPRU\ LV WKH IDXOW RI KLVW
HYLGHQFH ZKLFK DJUHHV ZLWK WKH WHVWLPRQ\ RI V
µGLVWRUWLRQ UHODWHG WR PHPRU\«LV QRW VR PX
GLVWRUWLRQ IURP WKH ODFN RI FRQJUXLW\ EHWZHH
H[SHFWDWLRQ«DQG WKH LQVWLWXWLRQDO UHSUHVH
\$W VRPH SRLQW VFKRODUV PXVW LQWHUSUHW D ILO
VHDUFKRXJKWKH OD\HUV RI LPSRUWDQW IDFWV DQG

ILQG WKH PRVW LPSRUWDQW NQRZOHGJH EXULHG GH
IDFW IURP HPRWLRQ")DPHG +RORFDXVW KLVWRULDQ
37RZDUG D 5HFHLWKB +RORFDXVW ' DVNV

,V LW SRVVLEOH WR ZULWH D KLVWRU\ WKDW LQFOX
PHPRU\ EXW ZKLFK OHDYHV LW HVVHQWLDOO\ LQWD
VHFWLRQ , VXJJHVW DIWHU 3DWHUHQNLAXWRKRWK
FDQ UHFYHU PHPRU\ EXW UDWKHU ZKDW PHPRU\

&OHDUO\ WKLV LV DQ LVVXH ZLWK ZKLFK VFKRODUV
WKLV SDSHU ZLOO VKRZ WKDW WWHLWZRLWLBHSRVVLE

7KH PHWKRGORJLFDO DSSURDFK XQGHUWDNHQ LQ V
LQ ZKLFK PHPRU\ DQG IDFW KDYH PHUJHG WRJHWKH
KDYH WURXEOH GHWHUPLQLQJ KRZ KLVWRULFDO NQR
WHVWLPRQLHV \$ SULPH H[DPSOH RI WKLV HPRWLRQ
WKH +RORFDXVW LV 3ULPR /HYL¶V GLVFXVVLQ RI D
FDPS +HQUL WR /HYL¶V GLVFRQWHQW UDUHQ\ H[KI

SRUWUD\ V WKHVH PHQ ZLWK D PLVVLRQ WR XSKROG
FDXVH 3RVVLEO\ WKH ILOP V PRVW HPRWLRQDO VH.
LV WKH YLHZLQJ RI WKH OLEHUDWLRQ RI WKH LQWHU
HQWLUH FRXUWURRP PRVW IRU WKH ILUVW WLPH YL
KRUURU LV PRVW REYLRXV LQ WKH IDFHV DQG H[SUH

HYHQWV ZLWKLQ WKH FDPS 2QH RI WKH PRVW VLJQI
DQG DUWLVW KDV SDLQWHG D VFHQH UHFRXQWLQJ

7KDW VDPH HYHQLQJ ZH UHDFKHG RXU GHVWLQDWL
GHDG ZHUH DEDQGRQH G LQ WKH WUDLQ 2QO\ WKRV
RXW«7KH ODVW GD\ KDG EHHQ WKH PRVW PXUGHURX
ZDJRQ \$ GR]HQ DFRXQWRXW IDWKHU DQG , :H KD
%XFKHQZDOG :LHVHO

+LV DFRXQW RI WKHUIGMDWZVRRQIDJWWDLQ ERXQG IF
FDPS LV FHLBOHQWORODIIHFWHG E\ WKH SHUVRQDO H
ODFN RI HPRWLRQ H[KLELWHG LQ WKLW LQVWDQFH D
WHVWLPRQ\ DQG EHJV WKH TXHVWLRQ RI ZKHWHU
HYLGHQFH WKH DFRXQWRXW XVW LI DOO HPRWLRQ LV GUD
HYHU\ VWDJH RI 1LJKW :LHVHO V XQHPRWLRQDO GH
GHVFULEH WKH HYHQW DV KLVWRULFDO UHFRUGV PX
WKHVH H[SHULHQFHV GHYRLG RI ELDV DQG VHQWLPH

5HHYH 5REHUW %UHQQHU WDNHV D GLIIHUHQW DSSU
+RORFDXVW DQG LWV HIIHFWV RQ WKH VXUYLYRUV L
+RORFDXVW 6XUYLYRUV %UHQQHU HORTXHQWO\ GLV
RI WKRVH ZKR VXUYLYHG ZKLOH IXUWKHULQJ KLV D
UHOLJLRQ LWVHOI DQG WKH FXUUHQW VWDWH RI -XG
DQG VHQWLPHQWV RI WKRVH ZKR HPHUJHG IURP WKH
DILOLDWLRQ ZLWK LW \$V 'U 'HERUD 3KLOOLSV 'LU
%HKDYLRU 7KHUDES\ &RQJUHVV ORQWKO\ ZULWHV 3D
ZKLFK UHYHDOV WKH -HZLVK LQQHUPRVW VRXO WKH
FKDUDFWHU' 3KLOOLSV %UHQQHU KRZHYHU H[SO
WHVWLPRQ\ RI WKH -HZLVK YLFWLPV UHPDLQLQJ WR

:K\ GR \RX KDYH WR GR UHVHDFK 7KHUH V QRWKL
VFKRODUVKLS :H ZKR ZHQW WKURXJK WKH FDPSSV QI
DV WKDW :H EHFDXVH RI RXU ([SHULHQFH DQG ZKD
*RG LV D O\WK %UHQQHU

7KLV DFRXQW KLJKOLJKWV WKH IDFW WKDW PDQ\ R
+RORFDXVW IHOW WKDW WKHUH ZDV QR RQH HVSHF
IRU WKH HULQJHODQ\ IHOW EHWUD\HG %UHQQHU H[W
WKLW WHVWLPRQ\ ZKLFK FOHDUO\ H[HPSOLILHV WKH
VHQVH WRZDUGV WKH DFWV FRPPLWWHG DJDLQVW W
RQH WR EODPH EXW WKH 1D]LV WKH -HZV WXUQ WR
RI QRW VDYLQJ KLV SHRSOH IURP WKHU UHWKOHVV

+DYLQJ ILOWHUHG WKLW LQGLYLGXDO PHPRU\ LQWR
DFFRXQWV LQ DGGLWLRQ WR WKRVH E\ -HZLVK VXU

WR GHWHUPKLVHRWRHPZHUJLQ UHFROOHFWLRQ RI WKH
VFKRODU WDNH LQWR DFFRXQW WKH WHVWLPRQ\ RI
KLV H[SHULHQFHV LV OD\HUHG ZLWK IHHOLQJV VXFK
GRHV D ODVFXRHRHQRWLRQ SOD\ D SDUW LQ GHWHUPLQ
)LQDO 6ROXWLRQ" 0HPRU\ LV D IDFWRU ZKLFK PXVW
DQ\ DFWLRQ UHJDUGLQJ KLVWRULFDO HYLGHQFH RI
EH UDVK QRW WR UHVHDFK WKH VRXUFH RI WKH DF
VXFK DV WKH 8QDWHRGKROVDFRQR+PROFEDVXVW 0HPRULD

DQG VSHFLILF IDFWV SHUWDLQLQJ WR LWV RFFXUUH
DIWHUPDWK ZLWKRXW WKH ZHLJKW RI PHPRU\ %HUJ
VFKRODUV DQG SHGHVWULDQ UHGDHUV PD\ LQWHUS
DV 1D]L NLOOHUV DQG KRZ WKDW PHPRU\ FDQ EH V
ERRN GHWDLOV WKH HYHQWV OHDGLQJ XS WR WKH L
HQIRUFHPHQW WKURXJIRORHVVWULPRQHRLWWKZVHDZK \$
ZRUN ZLWKRXW PHPRU\ DV ZDV WKH FDVH HVVHQW
KLVWRULFDO UHFRUGV RI WKH +RORFDXVW DUH WDL

,Q WKH ILUVW GHFDG HDMWLRQ WKH PDBUWKH WKSIS-HZ
ZDV DOVR DSSDUHQW LQ WKH UHODWLRQVKLSV 6XUY
FRPPXQLW\«1HYHUWKHOHV 7KH WULDO RI \$GRC
LQ WKH 3RVWZDU PHPRU\ RI WKH +RORFDXVW«*UDGX
µGHSULYHG IRU VR PDQ\ \HDUV RI UHVSHFWIXO OLVW
WKHPVHOYHV DV UHVSQRVLEOH IRU UHPLQGLQJ WKH
KDSSHQ µ1HYHU \$JDLQ ¶ %HUJHU

%HUJHU¶V DFFRXQW RI WKH FROOHFWLRQ RI IDFWV U
WKH LQWURGXFWRQ RI PHPRU\ LQWR VFKRODUO\ UH
H[KLELWV WKDW ZLWKRXW PHPRU\ RI WKH VXUYLYR
EH PHUHO\ DQRWKHU EDUEDURXV DFW RI KXPDLW\
KDYH PDGH LW VXFK D FUXFLDO DFWRU LQ (XURSHD
VXUYLYRUV VXFK DV :LHVHO DQG /HYL UHPHPEUDQF
GLIIHUHQ KL RXUHV H

GLHV WKH VL[PLOOLRQ ZLOO ILQDOO\ EH DEOH WR
RQ WKH PHVVDJH«ZH PXVW DOZD\ V EH LQYROYHG´ 6
<DG9¶6KHP DQG 8QLWHG 6WDWHV +RORFDXVW 0HPRU
6SLHOEHUJ GRFXPHQWU\ DWWHPSWV WR IRUFH WKH
³OHVVRQV DERXW OLIH DQG KRSH DQG WKH GHYDVL
6SLHOEHUJ \$LOWDGLJKHWKQBH EHWZHHQ /HYL DQG
WKH PXUGHU RI D IHOORZ LQPDWH ERWK DFFRXQWV
ZLWKLQ WKH JKHWWRV DQGL@BPSDVIDQPG@GEMH@RSLD

%LEOLRJUDSK\

\$UHQQGW +DQQDK (LFKPDQQ LQ -HUXVDOHP \$ 5HS
6WDWHV RI \$PHULFD 7KH 9LNLQJ 3UHV

%DXHU <HKXGDK 7KH 6LJQLILFDQFH RI WKH)LQD

%HUUHU 5RQDOG - &RQVWUXFWLQJ D &ROOHFWLY
8QLYHUVLW\ 3UHV RI &RORUDGR

%UHQQUH 5HHYH 5REHUW 7KH)DLWK DQG 'RXEW
1 < 7)UHH 3UHV

%URZQLQJ &KULVWRSKHU 2UGLQDU\ 0HQ 1HZ <R

&ROH 7LP 6HOOLQJ WKH +RORFDXVW 1HZ <RUN

&UDQH 6XVDQ 30HPRU\ 'LVWRUWLRQ DQG +LVWR
7KH RU\ 9ROXPH 1XPEHU 7KHPH ,VVXH 'HFHP

*UHHQVSDQ +HQU\ 2Q /LVWHQLQLDQ RSPOMR FDXVW

<RXQJ -DPHV 37RZDUG D 5HFHLYHG +LVWRU\ RI V
7KHRU\ 9ROXPH 1XPEHU 7KHPH ,VVXH 'HFHP

[/DVW 8SGDWHG](#)

7LRLWLQN

+LVWRU\ -RXUQDO 6XEPLVLRQ

+XPDQ 5LJKWV \$EXVHV E\ 6HFXULW\)RUFHV LQ 1RUW

7KH (XURSHDQ &RXUW RI +XPDQ 5LJKWV UHFHQWO\ L
UHODWHG WR NLOOLQJV E\ SROLFH LQ &RXUW HXQHU
WKDW WKH JRYHUQPHQW RI WKH 8QLWHG .LQJGRP KI
IRU WKH 3URWHFWLRQ RI +XPDQ 5LJKWV DQG)XQGD
\$UWLFOH VWDWHV WKDW ³(YHU\RQH V ULJKW WR OL
GHSULYHG RI KLV OLIH LQWHQWLRQDOO\ VDYH LQ W
IROORZLQJ KLV FRQYLFWRQ RI D FULPH IRU KLFK
SDVVLQJ RI 3URWRFRO WR WKH &RQYHQWLRQ LQ
JRYHUQPHQWV WR WKH &RXUW IRU YLRODWLRQV QRW
ZHUH DPRQJ WKH ILUVW IURP 1RUWKHUQ ,UHODQG W
UHFRUG RI WKH %ULWLVK JRYHUQPHQW

7KH GHFLWLRQ %ULWLVK JRYHUQPHQW KDG YLRODWH
FLWLJHQV ZDV WLJKWO\ ZRUGHG DV WKH &RXUW ED
SROLFH LQYHVWLJDWLRQV LQWR WKH NLOOLQJV 1HY
FODLPV ~~K~~XPDQ\ULJKWV RUJDQLJDWLRQV PRVW QRW
+XPDQ 5LJKWV :DWFK WKDW DGGLWLRQDO DEXVHV K
&RXUW WUDQVFULSWV DQG WKH UHSRUWV LVVXH E
ULJKWV DEXVHV ZHQW EH\RQG GHILFLHQW SROLFH L
8QLWHG .LQJGRP ZDV UHVSQVLEOH IRU PDQ\ RI WK
IURP WKH DUULYDO RI %ULWLVK WURRSV LQ 1RUWK
*RRG)ULGD\ \$JUHHQWV LVLV 3DUOLDPHQW JDYH V
PXFK OHHZD\ LQ WKH KRSHV RI ILJKWLQJ WHUURULV

7KH KXPDP ULJKWV DEXVHV RFFXUUHG LQ 1RUWKHUQ
EURNH RXW ODWH LQGWK WKURXQG WKH VOLDQXHH V
EHWZHHQ WKH 5HSXEOLF RI ,UHODQ IRU KIRG WKHQ
UHOLJLRXV WHQVLRQ LQ 1RUWKHUQ ,UHODQG %RW
QDWLRQDOILVWV RUDQBSXUROWHVWDQWV VRPHWLPHV
OR\DOLVWV WHQGHG WR IDYRU SHRSOH RI WKH VDP
VXFK DV KLULQJ DQG KRXLQJ EXW &DWKROLFV IDF
DFXWHO\ EHFDXVFLQWV LQWHUWVRSXODWLRQ SROLW

SRZHU +RZHYHU WKLW UHVHQWPHQW ZDV JHQHUDO
WKH ODWH V 'UDZLQJ IURP WKH H[DPSOH RI EOD
6WDWHV &DWKR P D F U F E H Q D Q S X O E D W L F O \ L Q S U R W H V
XQHTXDO WUHDWPHQW 7KH XQLRQLVW SROLWLFLDQ
QXPEHU RI FRQFHVVLRQV F D Q H W S U H R Z D N W W R I & D W K R O L F
FRQFHVVLRQV ZHUH PHUHRWRNHWQ V D G W K H U Q M I G D W R H H G
UHIRUP 3URWHVWV FRQLQXHG DQG VRRQ HVFDOD
EHJLQQLQJ WKH SHULRQ D Q Q R Z E O D 5 F 3 O B W F W K R X E T O H Z Q

LQYHVWLJDWLRQ LQWR DQ\ DEXVHV E\ VHFUXULW\ IRU
JUHDW DFFRXQWDELOLW\ ,Q 1RUWKHUQ ,UHODQG
VRUHO\ ODFNLQJ

3UHGLFWDEO\ HQRXJK VHFUXULW\ IRUFHV ZHUH LQYR
EHWZHHQ DQG 2I WKHVH NLOOLQJV RI W
QRW DILOLDWHG ZLWK SD@DNPLOJLWGDQWDD@LRD WLR
FKDQJH LQ SURFHGXUH WKDW DOORZHG IRU LQGLYL
JRYHUQPHQWV VL[VHSKDW DW ELQDWH W Z H UHQ EWR & J. L
(XURSHDQ &RXUW RI +XPDQ 5LJKWV 7KHVH FDUVH Z
NLOOHG LQ 1RUWKHUQ ,UH SROGFH\ R\HIEFWLWV Z H UHF R
RI WKH F@VHV

QQ 0PHQ7KH SHJH À < •WKõî Kõî Kõî I p F0 •5` 5c 1 R KçLJS HBF0 `XUE Wq8 ð

EURXJKW WR D VWRS KH H[LWHG KLV FDU DQG UDQ
KLP :LWKRXW ILULQJ D ZDUQLQJ VKRW D SROLFH R
KLWWLQJ KLP VHYHUDO WLPHV DQG NQRFNLQJ KLP R
RIILFHUV UHDFKHG -RUGRQ WKH\ YHUEDOO\ DEXVHG
WKUHDWHQHG RU SRVHG D WKUHDW WR WKH SROLFH

7KH %ULWLVK JRYHUQP~~HDWR&RMV QRHSDRFLHSWRWKEN~~
FODLPHG WKDW P@`@7KH Z@ WG F7XQx2 GK

UHJDUGHG WKH DFFXVHG DVP³DEWRIOXWDHOG EBP HQE

NLOOLQJ DOO HLJK

,Q DOO FDVHV WKH 58& ZDV UHVSQRVLEOH IRU FRQ
VHFXULW\ IRUFHV ,QYHVWLJDWLRQV ZHUH UHJXODW
3ROLFH &RPSODLQWV EXDVS SRKHO WHHGE EUWRH LWL FZHHUM
1RUWKHUQ ,UHODQG 7KLV UHSUHVHQWHG D PDMRU
RI VWDWH ZDV UHVSQRVLEOH @UDWKHYSRQW FVWJHR,Q
&RPPLVQLRQKBG D OLPLWHG UROH LQ RYHUVHHLQJ L
RYHUVLJKW IRU WKH 58& 7KLV ZDV D SUREOHP EHFD
EH FRPSOHWHO\ LPSDUWLDO LQ MXGJLQJ WKHLU EUH
3KLHUDUEKIDVZOHQWKH RIILFHUV LQ WKH LQYHVWLJD
LQYHVWLJDWLRQ ERWK RI ZKRP ZHUH XQGHU WKH U
&RQVWDEOH ZKR SOD\HG D UROH LQ WKH SURFHVV
SURFHGHGL@JH &RXUW DFFHSWHG WKDW WKH OHJDO D
FKDUJHV DJDLQVW WKH SROLFH WKH 1RUWKHUQ ,UH
'33 ZDV LQGHSHQGHQW +RZHYHU LW IRXQG WKDW
ZKHQ WKH LQYHVWLJDWLRQ @WVHOI ZDV VXEMHFW W

7KH RWKHU GHILFLHQF\ WKH &RXUW QRWHG ZDV WKH
LQTXHVW KHDULQJ ,QTXHVW KHDULQJV DUH SXEOLF
VXVSLFLRXV GHDWK LQ WKH 8QLWHG .LQJGRP 7KHV
UHTXLUH WR VDWLVI\ WKH SURFHGXUDO REOLJDWL
RI IXOILQOLQJ LW ,I SURSHUO\ KHOG LQTXHVW KHD
SURFHGXUD@YRHOILUWKHRSROLFH LQYHVWLJDWLRQ LV
&RXUW UXOHG LQ WKH FDVH RI OF&DQQ DQG RWKHUV
LQYROYHG WKH NLOOLQJ RI VXVSHRZHGHVHPEHU
FDVHV H[DPLQHG LQ WKLW SDSHU WKH &RXUW UXOH
KHDULQJV LQ WKH OF&DQQ FDVH DQG WKH FDVHV LQ
SUHFHGHQW 7KH &RXUW IRXQG WKH KHDULQJV WRR

,QTXHVW KHDULQJV UHSUHVHQW D VRUW RI LQGHSHQ
.LQJGRP 7KH\ DUH FRQGXFWHG E\ FRURQHUV LQ RU
VXUURXQGLQJ D VXVSLFLRXV GHDWK ZLWK WKH UXO
UXQ E\ WKH SROLFH RU WKH SURVHFXWLRQ 7KH LQT
&RURQHUV DUH DSSRLQWHG E\ WKH /RUG &KDQFHOO
H[SHULHQFH DV D SUDWQHEDDQGDQW@RUIHFDQDQG L
FDVH WKH MXU\ PD\ UXOH WKDW D NLOOLQJLV DQ 3
SURVHFXWH RU JLYH D UHVRQ IRU QRW SURVHFXWL
DFFRXQWDEOH WR WKH SXEOLF ,Q 1RUWKHUQ ,M KID
IDFHG IDU PRUH UHVWULFWLRQ 7KH\ FRXOG RQO\ U
QDPH WKH SODFH DQG WLPH RI @DRWKHJZRWEMFW
FRXOG QRW FRPPHQW EHSRQW WSHMQDUFRZHOVVRMDU
GLHG IURP EHLQJ VKRW LQ WKH FKHVW RU KHDG EX

WKH VKRRWLQJ ZDV SURSHU RU QRW 7KLV OLPLWDW
SRVVLELOLW\ RI FRUUHFWLRQV LP SKRFRW FRQWFRXQ
UHSRUW WR WKH '33 VWDWLQJ WKDW D FULPLQDO RI

SROLFH RIILFHU RU WKH XVH RI EDWRQ URXQGV SO
FRQGXFW KHU RZQ LQYHVWLJDWLRQV DQG LFK/DXUH DVU

7KH OHWKDO IRUFH VWDQGDUG ZDV IDU WRR YDJX
FRQWULEXWH WRFWUHHGHVWUHWKULFRIILFHUV Z
DFFRXQWDEOH IRU VKRRWLQJV EHFDXVH ZKDW LV ³U
WKDW LW ZDV YLUWXDOO\ LPSRVVLEOH WR SURYH W
KDG EHHQ D FOWUWHQWUFGHDEWHDK@RUEH LW VHH
RIILFHUV ZRXOG KDYH H[HUFLVHG EHWWHU GLVFUHW
WKH FDVHV DERYH ZRXOG VWLOO EH DOLYH WRGD\L
LQWHUQDWLRQDO VWQGDUGV IRU WKH XVH

,Q DGGLWLRQ LQ SUDFWLWFH WKLV PDGH LW YLUWXD
VHFXULW\ IRUFHV RI DQ\ FULPH LQ WKH HYHQW RI D
58& KDQGOHG LQYHVWLJDWLRQV RI NLOOLQJV E\ WKH
ORDWK WR UHFRPPHQG PXUGHU FKDUJHV IRU WKHLU
DQG WKH FRXUVV ZHUH DOO UHOXFWDQW WR JR DIW
HJUHLRXV FDUHV 7KH SRVVLELOK\ WR IPDQVLOD XDK
HDVLHU IRU WKH '33 WR VHFXUH FRQYLFWLRQV DJDL
SROLFH <NL@OLQJV

\$V D UHVXOW RI WKH XVH RI IRUFH UHJXODWLRQV D
FRQYLFWEKOLWRIRUFH PHPEHUV ZHUH DOPRVW LPSR
DQG WKH '33 RQO\ EURXJKW FKDUJHV DJDLQ
IRUFHV IRU NLOOLQJV XVLQJ ILUH DUPV ,Q RQO\ WZ
RI PDQVODXJKWHU RU PXUGHU 7KH\ VHUYHG FRPEL
LQ SULVRQ 7KH RIILFHU ZKR ZDV FRQYLFWHG RI PD
VHQW\HQRPH RQH VROGLHU ZKR ZDV IRXQG JXLOW\ RI
VHUYHG RQ\ HDUV DQG WKUHH PRQWKV RI D OLIH V
KLV DUP\ XQLW DIVERO KLG\HWLQHDWKD W VHFXULW\ IR
IRU GHDWKV EHWZHHQ DQG WKLV ZDV D Z
\$PQHVV\ ,QWHUQDWLRQDO DURXQG IDOPIDRWHKURZKID
WKH FLUFXPVWDQFHV WKH DFWLRQV RI WKH RIILFHU
LPSOLFLO\ WROG WKH\ FRXOG DFW ZLWK LPSXQLW

6RPH DFWLYLVWV KDYH PDGH HYHQ VWURQJHU DOOH
RQO\ GLG WKH '33 IDLO WR SURVHFXWH RIIHQGHUV
ZLWK OR\DOLVW SDUDPLOLWDU\ RUJDQLJDWLRQV 7K
WKDW WKH OR\DOLVW RUJDQLJDWLRQV FODLPHG WKH
JURXS DOPRVW QHYHU WDUJHWHG VHFXULW\ IRUFH
LW LV QDWXUDO WKDW QDWLRQDOLVW RURXS VZHZBOO

FRQFHUQHG ZLWK VHFUXULW\ OHDNV WKDW RFFXUUHC
DOOHJDWLRQV RI FROOXVLRQ EHWZHH@ VHFUXULW\ IF

/DWHU LQYHVWLJDWLRQV UHYHDOHG HYHQ PRUH ,Q
FROOXVLRQ LQ VHYHUDO RWKHU FDVHV LQFOXGLQJ
ZURWH WKDW WKHUH ZDV ³FROOXVLRQ LQ ERWK PXU
WKHP ' FRQILUPLQJ WKH VXVSLFLRQV RI DFWLYLVWV
%ULWLVK JRYHUQPHQW NQHZ ZDV QRW GHWHUPLQHG
RIILFHUV OHG WR WKH ORVV RI LQQRFHQW OLIH 6W
REWUXFWLQJ KLV LQYHVWLJDWLRQ DQG HYHQ VWD
LQFLGHQW URRP 3ROLFH RIILFHUV LQ WKH 58& ZHU
FULPHV DJDLQVW RWKHU RIILFHUV LQ W WOL WOLU
FP0 L` Ä p L HQ0

DPPXQLWLRQ +RZHYHU SODVWLF EXOOHWV NLOOHG
+DOI RI WKH GHFHDVHG ZHUH FKLOGUHQ +XQGUHGV
E\ WKH URQGV

\$FFRUGLQJ WR LQWHUQDO JXLGHOLQHV WKH URXQG
DQG RQO\ LI WKH VDIHW\ RI SROLFH RIILFHUV RU RW
WKH EXOOHWV ZHUH WR EH ILUHG RQO\ DW WKH ORZ
WKH XVH RI SODVWLF EXOOHWV OH +RZHYHU WKH J
URXWLQHO\ LJQRUHG E\ VHFXULW\ IRUFHV OH\WKD
ZHDSRQ E\ PDQ\ RIILFHUV WKH\ DUH XVHG ZLWK OL
RI WKRVH NLOOHG E\ WKH EXOOHWV KDYH ODWHU EH
LV OLWWOH H\FXVH WR ILUH VXFK D GDQJHURXV ZHD
VLWXDWLRQ ,Q DQ\ HYHQW WKHUH KDYH EHHQ D OD

UHVSHFWHG KXPDQ ULJKWV 7KH %ULWLVK JRYHUQP
WR HQVXUH LW ~~ZDA~~ Z@ RUNLQJ SURSH

7KH *RRG)ULGD\ \$JUHHPHQW PDNHV FOHDU WKH KLJ
SROLFH IRUFHV 7KH SHRSOH RI 1RUWKHUQ ,UHODQG
IRUFÖLKD UWKD

S
S

7KH ILUVW DQG SHUKDSV PRVW REYLRXV UHDVRQ V
VHFXULW\ IRUFHV GLG QRW VLPSO\ YLRODWH WKH U
PRUH FLYLOLDQV WKDQ [W@YH\QGZLGHSDW@FLYOH\FXCULLW\F](#)
SDUDPLOLWDU\ PHPEHUV WKH\ ZHUH RIWHQ XQDUPH

WKH JRYHUQPHQW GRHV QRW UHVSHFW WKH ULJKWV
%ULWDLQ ZDV LQ YLRODWLRQ RI WKH &RQYHQWLRQ
VLJQHGH RQWR ,W KDV DQ REOLJDWLRQ WR SURWHFW
WKDW WKH SDUDPLOLWDULHV FRPPLWWHG ZRUVH YL
%ULWDLQ KDV LPSOLFLO\ FRQFHGHG WKDW PLVWDM
WKH SROLFH LQYHVWLJDWLRQV HYHQ EHIRUH WKH (>
2PEX@DQ ZDV KLUHG LQ LQGLFDWLQJ WKDW WKI
SUREOHPV ZLWK SROLFH LQYHVWLJDWLRQV>H@HQ EH

([DPLQLQJ WKH TXHVWLRQ RI KXPDI LEXLWWV XLFK 10PLN
WKH 8QLWHG 6WDWHV LQ WKH SRVW ZRUOG WKH
IDFHG ZLWK WKH GLILFXOW WDVN RI ILJKWLQJ WHUU
ULJKWV 7HUURULVWV IURP 1RUW WKUQRJWHODQG ,DW
DQG LQ (QJODQG SXWWLQJ WKH JRYHUQPHQW LQ D
WR GR VRPHWKLQJ WR VWRS WKH YLROHQFH 6HFXU
RI UHVSHFWLQJ WKH ULJKWV ZKLOH IDFLQJ JUHDW S
DWWHPSWHG WR ILJKW WHUURULVP DV HIIHFWLYHO\
ZLGHVSUHDG DEXVHV WR RFFXU

+RZHYHU WKH IDLOXUH RI WKH %ULWLVK SROLFLQJ
RI VHFUXLW\ IRUFHV YLRODWLRQV HRIUKXPDI QVULJKWV
DFFRXQWDELOLW\ IRU SROLFH DFWLRQV ZRXOG QRW
IDFW LW OLNHO\ ZRXOG KDYH GHFUHDVHG WKH WKU
SRSXODWLRQ DQG WKXV WK@i

> @ELG

> @ELG

> @XPDQ 5LJKWV ~~Serve DWFK~~ *Favor: Policing, Human Rights, and Accountability in Northern Ireland* 1HZ <RUN 5LXRDQ :DWFK

> @HOVLQNL ~~Lund Rights~~ *in Northern Ireland* 1HZ <RUN +HOVLQNL :

> @HODWHG ,WHPV LQ (XURSHDQ DQG 'RPHVWLF &RXU
KWWS ZZZ VHUYH FRP SIF HXUR HXULQGH[KWPO

> @XURSHDQ &RXUW RI +XPDQ 5LJKWV +LVWRULFDO
KWWS ZZZ HFKU FRH LQW (QJ ('RFV +LVWRULFDO%D

> @

> @XURSHDQ &RXUW RI +XPDQ 5LJKWV \$SSOLFDFWLRQ
8QLWHG .LQJGRP '

> @HOVLQNL :DWFK

> @XURSHDQ &RXUW RI +XPDQ 5LJKWV \$SSOLFDFWLRQ
8QLWHG .LQJGRP '

> ,@ELG

> ,@ELG

> @HOVLQNL :DWFK

> @XURSHDQ &RXUW RI +XPDQ 5LJKWV \$SSOLFDFWLRQ
.LQJGRP '

> ,@ELG

> ,@ELG

> @DVHV &RQFHUQLQJ WKH \$FWLRQV RI 6HFXULW\)
KWWS ZZZ VHUYH FRP SIF HXUR FPLQI SGI \$FFH

> ,@ELG

> @HFWLRQ RI WKH &ULPLQDO /DZ 1RUWKHUQ
+XPDQ 5LJKWV :DWFK

> @XURSHDQ &RQYHQWLRQ RQ +XPDQ 5LJKWV '

> \$PQHVV\ , QWHUQDWLRQDO Killings in Northern
Ireland 1HZ <RUN \$PQHVV\ , QWHUQDWLRQDO

> @HOVLQNL :DWFK

> ,@ELG SS

> \$PQHVV\ , QWHUQDWLRQDO

> @HOVLQNL :DWFK

> \$PQHVV\ , QWHUQDWLRQDO

> _ ,@ELG

> _ ,@ELG

> _ @KH ,ULVK 7LPHV ³6HFXULW\)RUFHV &ROOXGHG ZL
KWS ZZZ LUHODQG FRP QHZVSDSHU IURQW
WPO \$FFHVVHG 'HFHPEHU

> _ (@XURSHDQ &RXUW RI +XPDQ 5LJKWV \$SSOLFDWLRQ
.LQJGRP '

> _ @XPDQ 5LJKWV :DWFK

> _ (@XURSHDQ &RXUW RI +XPDQ 5LJKWV \$SSOLFDWLRQ
8QLWHG .LQJGRP '

> _ @XPDQ 5LJKWV :DWFK

> _ ,@ELG

> _ @P½ËËËË ±Ü' ½€±Ü½Ç PaÜ±Ü Ë Ì±Ò Ì±Ü <x²/aÒ Ñ QÜpUaÐ±ÖÀ< 5 <Ø ÀQ \

/DVW 8SGDWHG